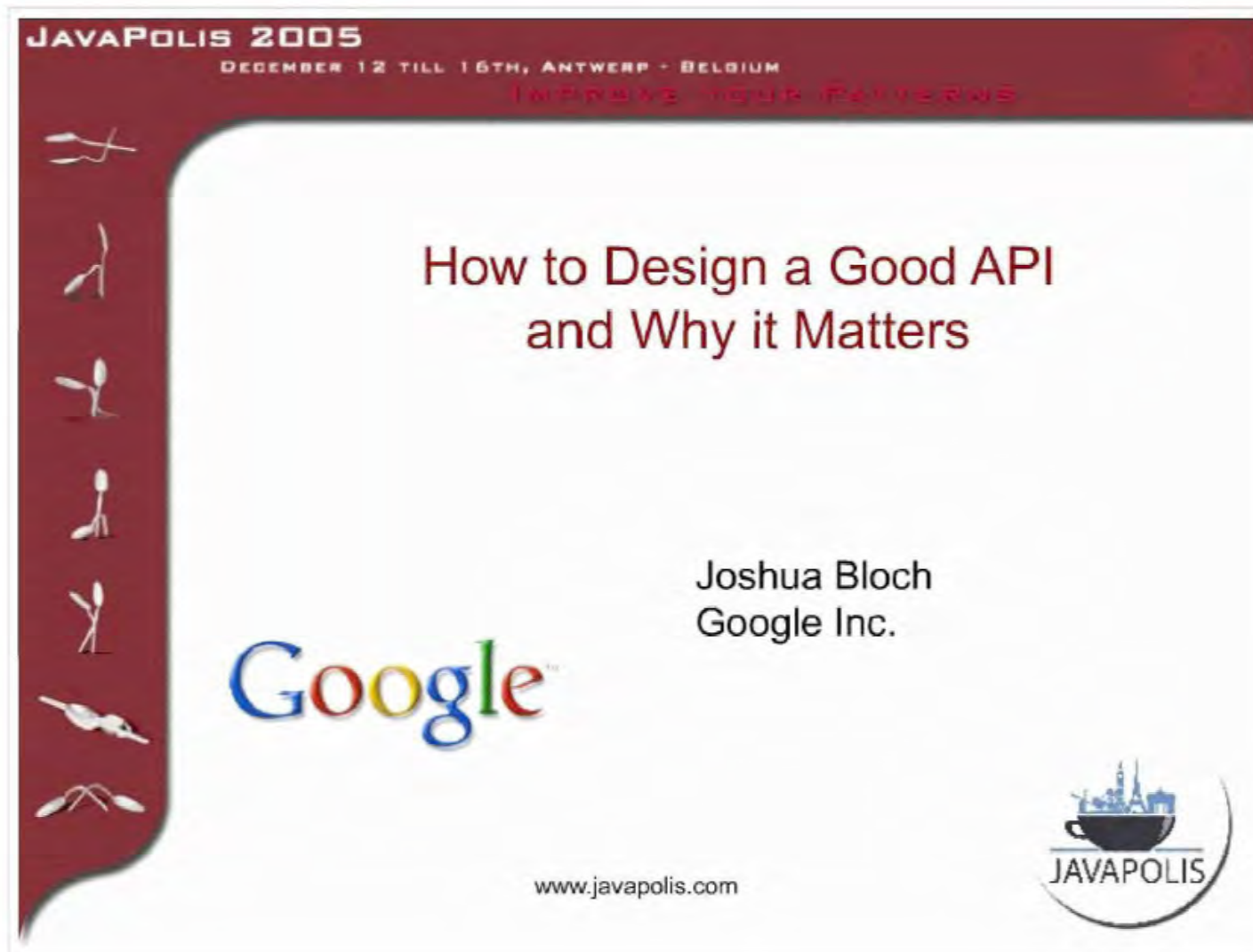


TRIAL EXHIBIT 624



UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

TRIAL EXHIBIT 624

CASE NO. 10-03561 WHA

DATE ENTERED _____

BY _____

DEPUTY CLERK

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Why is API Design Important?

- APIs can be among a company's greatest assets
 - Customers invest heavily: buying, writing, learning
 - Cost to stop using an API can be prohibitive
 - Successful public APIs capture customers
- Can also be among company's greatest liabilities
 - Bad APIs result in unending stream of support calls
- Public APIs are forever - one chance to get it right



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Why is API Design Important to *You*?

- If you program, you are an API designer
 - Good code is modular—each module has an API
- Useful modules tend to get reused
 - Once module has users, can't change API at will
 - Good reusable modules are corporate assets
- Thinking in terms of APIs improves code quality



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Characteristics of a Good API

- Easy to learn
- Easy to use, even without documentation
- Hard to misuse
- Easy to read and maintain code that uses it
- Sufficiently powerful to satisfy requirements
- Easy to extend
- Appropriate to audience



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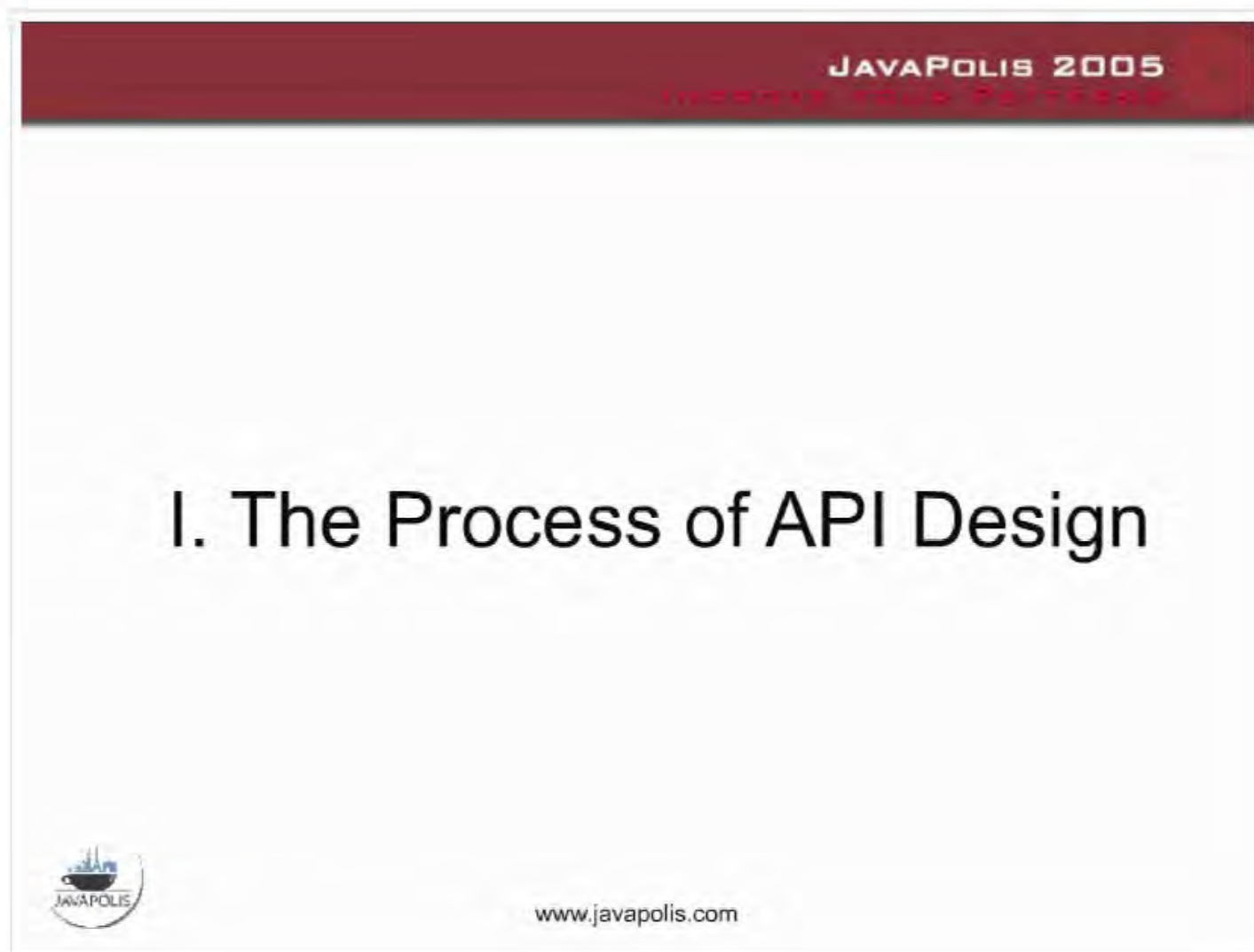
Outline

- I. The Process of API Design
- II. General Principles
- III. Class Design
- IV. Method Design
- V. Exception Design
- VI. Refactoring API Designs



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Gather Requirements—with a Healthy Degree of Skepticism

- Often you'll get proposed solutions instead
 - Better solutions may exist
- Your job is to extract true requirements
 - Should take the form of **use-cases**
- Can be easier and more rewarding to build something more general

 Good



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Start with Short Spec—1 Page is Ideal

- At this stage, agility trumps completeness
- Bounce spec off as many people as possible
 - Listen to their input and take it seriously
- If you keep the spec short, it's easy to modify
- Flesh it out as you gain confidence
 - This necessarily involves coding



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Write to Your API Early and Often

- Start *before* you've implemented the API
 - Saves you doing implementation you'll throw away
- Start *before* you've even specified it properly
 - Saves you from writing specs you'll throw away
- Continue writing to API as you flesh it out
 - Prevents nasty surprises
 - **Code lives on as examples, unit tests**



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Writing to SPI is Even More Important

- Service Provider Interface (SPI)
 - Plug-in interface enabling multiple implementations
 - Example: Java Cryptography Extension (JCE)
- Write multiple plug-ins before release
 - If one, it probably won't support another
 - If two, it will support more with difficulty
 - If three, it will work fine
- Will Tracz calls this "The Rule of Threes"
(*Confessions of a Used Program Salesman*, Addison-Wesley, 1995)



Bad

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Maintain Realistic Expectations

- Most API designs are over-constrained
 - You won't be able to please everyone
 - Aim to displease everyone equally
- Expect to make mistakes
 - A few years of real-world use will flush them out
 - Expect to evolve API



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II. General Principles



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API Should Do One Thing and Do it Well

- Functionality should be easy to explain
 - If it's hard to name, that's generally a bad sign
 - Good names drive development
 - Be amenable to splitting and merging modules



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API Should Be As Small As Possible But No Smaller

- API should satisfy its requirements
- **When in doubt leave it out**
 - Functionality, classes, methods, parameters, etc.
 - **You can always add, but you can never remove**
- *Conceptual weight* more important than bulk
- Look for a good *power-to-weight ratio*



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KEEPING YOUR FEET FIRM

Implementation Should Not Impact API

- Implementation details
 - Confuse users
 - Inhibit freedom to change implementation
- Be aware of what is an implementation detail
 - Do not overspecify the behavior of methods
 - For example: **do not specify hash functions**
 - All tuning parameters are suspect
- Don't let implementation details “leak” into API
 - On-disk and on-the-wire formats, exceptions



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Minimize Accessibility of Everything

- Make classes and members as private as possible
- Public classes should have no public fields (with the exception of constants)
- This maximizes **information hiding**
- Allows modules to be used, understood, built, tested, and debugged independently



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Improving Java Performance

Names Matter—API is a Little Language

- Names Should Be Largely Self-Explanatory
 - Avoid cryptic abbreviations
- Be consistent—same word means same thing
 - Throughout API, (Across APIs on the platform)
- Be regular—strive for symmetry
- Code should read like prose

```
if (car.speed() > 2 * SPEED_LIMIT)
    generateAlert("Watch out for cops!");
```



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Documentation Matters



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Document Religiously

- Document **every** class, interface, method, constructor, parameter, and exception
 - Class: what an instance represents
 - Method: contract between method and its client
 - Preconditions, postconditions, side-effects
 - Parameter: indicate units, form, ownership
- Document state space very carefully



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Consider Performance Consequences of API Design Decisions

- Bad decisions can limit performance
 - Making type mutable
 - Providing constructor instead of static factory
 - Using implementation type instead of interface
- Do not warp API to gain performance
 - Underlying performance issue will get fixed, but headaches will be with you forever
 - Good design usually coincides with good performance



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Learning from Patterns

Effects of API Design Decisions on Performance are Real and Permanent

- `Component.getSize()` returns `Dimension`
- `Dimension` is mutable
- Each `getSize` call must allocate `Dimension`
- Causes *millions* of needless object allocations
- Alternative added in 1.2; old client code still slow



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API Must Coexist Peacefully with Platform

- Do what is customary
 - Obey standard naming conventions
 - Avoid obsolete parameter and return types
 - Mimic patterns in core APIs and language
- Take advantage of API-friendly features
 - Generics, varargs, enums, default arguments
- Know and avoid API traps and pitfalls
 - Finalizers, public static final arrays
- **Don't Transliterate APIs**



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III. Class Design



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Learning from Patterns

Minimize Mutability

- Classes should be immutable unless there's a good reason to do otherwise
 - Advantages: simple, thread-safe, reusable
 - Disadvantage: separate object for each value
- If mutable, keep state-space small, well-defined
 - Make clear when it's legal to call which method

Bad: **Date**, **Calendar**

Good: **TimerTask**



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Subclass Only Where It Makes Sense

- Subclassing implies substitutability (Liskov)
 - Subclass only when is-a relationship exists
 - Otherwise, use composition
- Public classes should not subclass other public classes for ease of implementation

Bad: **Properties extends Hashtable**
Stack extends Vector

Good: **Set extends Collection**



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Design and Document for Inheritance or Else Prohibit it

- Inheritance violates encapsulation (Snyder, '86)
 - Subclass sensitive to implementation details of superclass
- If you allow subclassing, document *self-use*
 - How do methods use one another?
- Conservative policy: all concrete classes final
- Bad: **Many concrete classes in J2SE libraries**
- Good: **AbstractSet, AbstractMap**



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IV. Method Design



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Don't Make the Client Do Anything the Module Could Do

- Reduce need for boilerplate code
 - Generally done via cut-and-paste
 - Ugly, annoying, and error-prone

```
import org.w3c.dom.*;
import java.io.*;
import javax.xml.transform.*;
import javax.xml.transform.dom.*;
import javax.xml.transform.stream.*;

// DOM code to write an XML document to a specified output stream.
static final void writeDoc(Document doc, OutputStream out) throws IOException{
    try {
        Transformer t = TransformerFactory.newInstance().newTransformer();
        t.setOutputProperty(OutputKeys.DOCTYPE_SYSTEM,
            doc.getDoctype().getSystemId());
        t.transform(new DOMSource(doc), new StreamResult(out));
    } catch (TransformerException e) {
        throw new AssertionError(e); // Can't happen!
    }
}
```


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LABORATORY FOR PATTERN

Don't Violate *Principle of Least Astonishment*

- User of API should not be surprised by behavior
 - It's worth extra implementation effort
 - It's even worth reduced performance

```
public class Thread implements Runnable {  
    // Tests whether current thread has been interrupted.  
    // Clears the interrupted status of current thread.  
    public static boolean interrupted();  
}
```



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Support Your Favorite

Fail Fast—Report Errors as Soon as Possible After They Occur

- Compile time is best - static typing, generics
- At runtime, first bad method invocation is best
 - Method should be failure-atomic

```
// A Properties instance maps strings to strings
public class Properties extends Hashtable {
    public Object put(Object key, Object value);

    // Throws ClassCastException if this properties
    // contains any keys or values that are not strings
    public void save(OutputStream out, String comments);
}
```

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EMBRACING SOLID PATTERNS

Provide Programmatic Access to All Data Available in String Form

- Otherwise, clients will parse strings
 - Painful for clients
 - Worse, turns string format into de facto API

```
public class Throwable {
    public void printStackTrace(PrintStream s);
    public StackTraceElement[] getStackTrace(); // Since 1.4
}

public final class StackTraceElement {
    public String getFileName();
    public int getLineNumber();
    public String getClassName();
    public String getMethodName();
    public boolean isNativeMethod();
}
```


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Learning from Experience

Overload With Care

- Avoid *ambiguous overloadings*
 - Multiple overloadings applicable to same actuals
 - Conservative: no two with same number of args
- Just because you can doesn't mean you should
 - Often better to use a different name
- If you must provide ambiguous overloadings, ensure same behavior for same arguments

```
public TreeSet(Collection c); // Ignores order  
public TreeSet(SortedSet s); // Respects order
```



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Use Appropriate Parameter and Return Types

- Favor interface types over classes for input
 - Provides flexibility, performance
- Use most specific possible input parameter type
 - Moves error from runtime to compile time
- Don't use string if a better type exists
 - Strings are cumbersome, error-prone, and slow
- Don't use floating point for monetary values
 - Binary floating point causes inexact results!
- Use **double** (64 bits) rather than **float** (32 bits)
 - Precision loss is real, performance loss negligible



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Use Consistent Parameter Ordering Across Methods

- Especially important if parameter types identical

```
#include <string.h>
char *strcpy (char *dest, char *src);
void bcopy   (void *src, void *dst, int n);
```

`java.util.Collections` – first parameter always collection to be modified or queried

`java.util.concurrent` – time always specified as `long delay, TimeUnit unit`



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Avoid Long Parameter Lists

- Three or fewer parameters is ideal
 - More and users will have to refer to docs
- Long lists of identically typed params harmful
 - Programmers transpose parameters by mistake
 - Programs still compile, run, but misbehave!
- Two techniques for shortening parameter lists
 - Break up method
 - Create helper class to hold parameters

```
// Eleven parameters including four consecutive ints
HWND CreateWindow(LPCTSTR lpClassName, LPCTSTR lpWindowName,
    DWORD dwStyle, int x, int y, int nWidth, int nHeight,
    HWND hWndParent, HMENU hMenu, HINSTANCE hInstance,
    LPVOID lpParam);
```


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Avoid Return Values that Demand Exceptional Processing

- Return zero-length array or empty collection, not **null**

```
package java.awt.image;  
public interface BufferedImageOp {  
    // Returns the rendering hints for this operation,  
    // or null if no hints have been set.  
    public RenderingHints getRenderingHints();  
}
```



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V. Exception Design



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Throw Exceptions to Indicate Exceptional Conditions

- Don't force client to use exceptions for control flow

```
private byte[] a = new byte[BUF_SIZE];
void processBuffer (ByteBuffer buf) {
    try {
        while (true) {
            buf.get(a);
            processBytes(tmp, BUF_SIZE);
        }
    } catch (BufferUnderflowException e) {
        int remaining = buf.remaining();
        buf.get(a, 0, remaining);
        processBytes(bufArray, remaining);
    }
}
```

- Conversely, don't fail silently

```
ThreadGroup.enumerate(Thread[] list)
```



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Favor Unchecked Exceptions

- Checked – client must take recovery action
- Unchecked – programming error
- Overuse of checked exceptions causes boilerplate

```
try {  
    Foo f = (Foo) super.clone();  
    ....  
} catch (CloneNotSupportedException e) {  
    // This can't happen, since we're Cloneable  
    throw new AssertionError();  
}
```

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Include Failure-Capture Information in Exceptions

- Allows diagnosis and repair or recovery
- For unchecked exceptions, message suffices
- For checked exceptions, provide accessors



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VI. Refactoring API Designs



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LAMPING THE PATTERN

1. Sublist Operations in Vector

```
public class Vector {  
    public int indexOf(Object elem, int index);  
    public int lastIndexOf(Object elem, int index);  
    ...  
}
```

- Not very powerful - supports only search
- Hard to use without documentation



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Lawrence T. Lee, Patrice Lee

Sublist Operations Refactored

```
public interface List {  
    List subList(int fromIndex, int toIndex);  
    ...  
}
```

- Extremely powerful - supports *all* operations
- Use of interface reduces conceptual weight
 - High power-to-weight ratio
- Easy to use without documentation



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2. Thread-Local Variables

```
// Broken - inappropriate use of String as capability.  
// Keys constitute a shared global namespace.  
public class ThreadLocal {  
    private ThreadLocal() { } // Non-instantiable  
  
    // Sets current thread's value for named variable.  
    public static void set(String key, Object value);  
  
    // Returns current thread's value for named variable.  
    public static Object get(String key);  
}
```

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Thread-Local Variables Refactored (1)

```
public class ThreadLocal {  
    private ThreadLocal() { } // Noninstantiable  
  
    public static class Key { Key() { } }  
  
    // Generates a unique, unforgeable key  
    public static Key getKey() { return new Key(); }  
  
    public static void set(Key key, Object value);  
    public static Object get(Key key);  
}
```

- Works, but requires boilerplate code to use

```
static ThreadLocal.Key serialNumberKey = ThreadLocal.getKey();  
ThreadLocal.set(serialNumberKey, nextSerialNumber());  
System.out.println(ThreadLocal.get(serialNumberKey));
```

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Lecture 1: The Pattern

Thread-Local Variables Refactored (2)

```
public class ThreadLocal {  
    public ThreadLocal() { }  
    public void set(Object value);  
    public Object get();  
}
```

- Removes clutter from API and client code

```
static ThreadLocal serialNumber = new ThreadLocal();  
serialNumber.set(nextSerialNumber());  
System.out.println(serialNumber.get());
```

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Conclusion

- API design is a noble and rewarding craft
 - Improves the lot of programmers, end-users, companies
- This talk covered some heuristics of the craft
 - Don't adhere to them slavishly, but...
 - Don't violate them without good reason
- API design is tough
 - Not a solitary activity
 - Perfection is unachievable, but try anyway



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